



Information required on ultimate beneficial owners, Nominators and Appointors in accordance with the Register of Ultimate Beneficial Owners, Nominators and Appointors Regulations 2017

Please note that this form is for information purposes only. All submissions must be completed through the online UBO Register portal (UBO Register). Paper or manual submissions will not be accepted.

- Part A – Details of the corporate or legal entity disclosing its ultimate beneficial owners, Nominators and/or Appointors.
- Part B – Details of the UBOs in relation to the corporate or legal entity described in Part A-
 - B.1 & 2 - Details of an **INDIVIDUAL** who is an ultimate beneficial owner.
 - B.3 – Details of a **LISTED ENTITY** that is an ultimate beneficial owner.
 - B.4 – Details of an **OTHER REGISTRABLE PERSON** who is an ultimate beneficial owner.
 - B.5 – Details of a **TRUST** that is a shareholder.
- Part C – This part provides for information regarding the senior managing officials where no UBO's fall within Part B.
- Part E - Details of the Nominator of any nominee shareholder holding shares in relation to the corporate or legal entity described in Part A-
 - E.1 – Details of an **INDIVIDUAL** who is a Nominator.
 - E.2 – Details of a **COMPANY or LEGAL ENTITY** (other than a Listed Entity or Regulated Firm) that is a Nominator.
 - E.3 – Details of a **LEGAL ARRANGEMENT** that is a Nominator.
 - E.4 – Details of a **LISTED ENTITY** that is a Nominator.
 - E.5 – Details of a **REGULATED FIRM** that is a Nominator.
- Part F – Details of the persons (Appointors) who have appointed a director of the corporate or legal entity described in Part A, where that director was appointed after 9 February 2021-
 - F.1 – Details of each director and the authority on which they were appointed.
 - F.2 – Details of an **INDIVIDUAL** who is an Appointor.
 - F.3 – Details of a **COMPANY or LEGAL ENTITY** (other than a Listed Entity or Regulated Firm) that is an Appointor.
 - F.4 – Details of a **LEGAL ARRANGEMENT** that is an Appointor.
 - F.5 – Details of a **LISTED ENTITY** that is an Appointor.
 - F.6 – Details of a **REGULATED FIRM** that is an Appointor.
- Part G - This part is to be completed by the person submitting this form.

* Fields marked with an asterisk (*) are compulsory

PART A - DETAILS OF GIBRALTAR INCORPORATED CORPORATE OR LEGAL ENTITY

A.1 Name of Gibraltar incorporated corporate or legal entity in full *

A.2 Corporate or legal entity Registration Number *

A.3 Address of registered office or principal address of the corporate or legal entity *

A.4 Name & address of company management service provider (if applicable)

A.5 Company management service provider contact email address (if applicable)

PART B - DETAILS OF THE ULTIMATE BENEFICIAL OWNER

Sections B.1 and B.2 are to be completed where an **individual** is the ultimate beneficial owner of the corporate or legal entity

B1.1 Individual's details

Title	
Full forename(s) *	
Surname *	
Country of residence*	
Nationality *	

B1.2 Individual's registration date as an ultimate beneficial owner *

Date that this person became registrable (this cannot be before 26 June 2017)							
d	d	m	m	y	y	y	y

B1.3 Date individual ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

B2.1 Individual's details continued

Previous name(s) / alias	
Gender	
Place of birth *	
Occupation	
Date of birth * (dd/mm/yyyy)	

B2.2 Individual's usual residential address

Building name/number	
Street	
City/town	
County/Region	
Postcode	
Country * (this needs to be the same as B1.1)	

B2.3 Individual's service address (if applicable)

Building name/number	
Street	
City/town	
County/Region	
Postcode	
Country/State	

B2.4 Details of individual's ownership / control of corporate or legal entity

Note: the percentage has to be more than 25% but no greater than 100%.

The total combined percentage of all beneficial owners cannot be greater than 100%

Ownership of shares

1. The individual holds, directly or indirectly, the following percentage of shares in the corporate or legal entity (NOTE: it must be **MORE THAN 25%**):

Voting rights

2. The individual holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (NOTE: it must be **MORE THAN 25%**):

Right to appoint/remove directors

3. The individual holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity other than through the voting rights set out in 2 above

(please tick)

Only complete the section below if none of the above apply

4. The individual has the right to exercise, or actually exercises control over the corporate or legal entity

(please tick)

If ticked, please provide further details:

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Section B.3 is to be completed where a Listed Entity is the ultimate beneficial owner of the corporate or legal entity

B3.1 Listed Entity details

Name of Listed Entity *	
Registered office:	
Building name/number	
Street	
City/town	
Postcode	
Country *	

Legal form (i.e. company)	
Name of market where shares admitted to trading *	
Registration number *	

B3.2 Listed Entity's registration date as an ultimate beneficial owner *

Date that this Listed Entity became registrable (this cannot be before 26 June 2017)							
d	d	m	m	y	y	y	y

B3.3 Date Listed Entity ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

B3.5 Details of Listed Entity's ownership or control of corporate or legal entity

Note: the percentage has to be more than 25% but no greater than 100%.

The total combined percentage of all beneficial owners cannot be greater than 100%

Ownership of shares

1. The Listed Entity holds, directly or indirectly, the following percentage of shares in the corporate or legal entity:

Voting rights

2. The Listed Entity holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity:

Right to appoint/remove directors

3 The Listed Entity holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity other than through the voting rights set out in 2 above

(please tick)

Section B.4 is to be completed where an **Other Registrable Person** is the ultimate beneficial owner of the corporate or legal entity

B4.1 Other Registrable Person : details

Name of Other Registrable Person *	
Type of Registrable Person * (please refer to Regulation 11B of RUBOR)	

B4.2 UBO - Other Registrable Person : principal office address

Building name/number	
Street	
City/town	
County/region	
Postcode	
Country *	

B4.3 Other Registrable Person's registration date as an ultimate beneficial owner *

Date that this registrable person became registrable
(this cannot be before 26 June 2017)

d	d	m	m	y	y	y	y
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B4.4 Date Other Registrable Person ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

B4.5 Details of Other Registrable Person's ownership or control of corporate or legal entity

Note: the percentage has to be more than 25% but no greater than 100%.
 The total combined percentage of all beneficial owners cannot be greater than 100%

Ownership of shares

1. The Other Registrable Person holds, directly or indirectly, the following percentage of shares in the corporate or legal entity:

Voting rights

2. The Other Registrable Person holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):

Right to appoint/remove directors

3 The Other Registrable Person holds, directly or indirectly, the right to appoint or remove a majority of the board of directors of the corporate or legal entity other than through the voting rights set out in 2 above

(please tick)

Section B.5 is to be completed where a **TRUST ESTABLISHED IN GIBRALTAR** is a direct or indirect shareholder of the corporate or legal entity

B5.1 Trust details

Name of Trust*	
Full name of the Trustee(s) *	

Confirm that the Trust has been established under Gibraltar Laws.

B5.2 Trust's registration date as a shareholder*

Date that this Trust became registrable (this cannot be before 26 June 2017)*							
d	d	m	m	y	y	y	y

B5.3 Date Trust ceased being a shareholder

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

B5.4 Details of Trust's ownership or control of corporate or legal entity

Note: the percentage has to be more than 25% but no greater than 100%.
 The total combined percentage of all beneficial owners cannot be greater than 100%

Ownership of shares

1. The Trust holds, directly or indirectly, the following percentage of shares in the corporate or legal entity:

Voting rights

2. The Trust holds, directly or indirectly, the following percentage of voting rights in the corporate or legal entity (tick only one):

C.1 This section must be completed if, after having exhausted all possible means and provided there are no grounds for suspicion, no ultimate beneficial owner is disclosed under section B1, B3 or B4, or if there is any doubt that the person(s) identified are the beneficial owner(s).

C.2 In this section the corporate or legal entity must disclose the person or persons who hold the position of senior managing official(s). Where the senior managing official(s) are also corporate or legal entities the presenter must look through the corporate structures and include the details of **natural persons** who are senior managing officials.

Details of senior managing official

Full forenames *	
Surname *	
Date of birth * (dd/mm/yyyy)	
Description of position *	

Senior managing official's registration date as an ultimate beneficial owner *

Date that person became registrable (this cannot be before 26 June 2017)							
d	d	m	m	y	y	y	y

Date senior managing official ceased being an ultimate beneficial owner

Date (if applicable) that this person ceased to be registrable							
d	d	m	m	y	y	y	y

C.3 Only complete this section if natural persons included in C.2 are not the senior managing officials of the corporate or legal entity described in Part A.

3.1 Name of corporate or legal entity of whom natural persons are senior managing officials

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3.2 Relationship of corporate or legal entity (referred to in 3.1 above) to corporate or legal entity described in Part A.

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PART E - DETAILS OF THE NOMINATOR(S)

Section E1 is to be completed where (1) a nominee holds shares in the corporate or legal entity on behalf of a Nominator; (2) those shares constitute a shareholding of not less than 25% plus one share in the corporate or legal entity; and (3) the Nominator is an **Individual**

E1.1 Nominee details

Name	

E1.2 Nominator details

Title	
Full forename(s) *	
Surname *	
Nationality *	
Date of birth * (dd/mm/yyyy)	
Previous name(s) / alias	
Gender	
Place of birth *	
Occupation	
Usual residential address	
Building name/number	
Street	
City/town	
County/Region	
Postcode	
Country *	

E1.3 Nominator's service address (if different to the details provided in E1.2)

Building name/number	
Street	
City/town	
County/Region	
Postcode	
Country/State	

E1.4 Individual's registration as a Nominator *

Date that this person became registrable

d	d	m	m	y	y	y	y
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E1.5 Date individual ceased being a Nominator

Date (if applicable) that this person ceased to be registrable

d	d	m	m	y	y	y	y
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Section E2 is to be completed where (1) a nominee holds shares in the corporate or legal entity on behalf of a Nominator; (2) those shares constitute a shareholding of not less than 25% plus one share in the corporate or legal entity; and (3) the Nominator is a **Company/Legal entity** (other than a Listed Entity or a Regulated Firm)

E2.1 Nominee details

Name	

E2.2 Nominator company: name

Name of Company *	
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E2.3 Nominator Company: registered office address

Building name/number	
Street	
City/town	
County/region	
Postcode	
Country *	

E2.4 Nominator Company: registration details

Country of incorporation *	
Incorporation number *	

E2.5 Company's registration as a Nominator *

Date that this company became registrable							
d	d	m	m	y	y	y	y

E2.6 Date that the Company ceased being a Nominator

Date (if applicable) that this company ceased to be registrable							
d	d	m	m	y	y	y	y

Section E3 is to be completed where (1) a nominee holds shares in the corporate or legal entity on behalf of a Nominator; (2) those shares constitute a shareholding of not less than 25% plus one share in the corporate or legal entity; and (3) the Nominator is a **Legal Arrangement**

E3.1 Nominee details

Name	

E3.2 Nominator details

Name of legal arrangement *	
Full name of the Trustee(s) *	

E3.3 Nominator: principal office address

Building name/number	
Street	
City/town	
County/region	
Postcode	
Country *	

E3.4 Nominator: further details

Legal form *	
Governing law *	
Incorporation or registration number if applicable	

E3.5 Legal arrangement's registration as a Nominator *

Date that this legal arrangement became registrable

d	d	m	m	y	y	y	y
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E3.6 Date that the legal arrangement ceased being a Nominator

Date (if applicable) that this legal arrangement ceased to be registrable

d	d	m	m	y	y	y	y
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Section E4 is to be completed where (1) a nominee holds shares in the corporate or legal entity on behalf of a Nominator; (2) those shares constitute a shareholding of not less than 25% plus one share in the corporate or legal entity; and (3) the Nominator is a **Listed Entity**.

E4.1 Nominee details

Name	

E4.2 Nominator: name

Name of Listed Entity *	
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E4.3 Nominator: further details

Legal form (i.e. company) *	
Registered Office Address	
Governing law *	
Name of market where shares admitted to trading *	
Country *	
Registration number *	

E4.4 Listed Entity's registration as a Nominator *

Date that this Listed Entity became registrable

d	d	m	m	y	y	y	y
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E4.5 Date that the Listed Entity ceased being a Nominator

Date (if applicable) that this Listed Entity ceased to be registrable

d	d	m	m	y	y	y	y
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Section E5 is to be completed where (1) a nominee holds shares in the corporate or legal entity on behalf of a Nominator; (2) those shares constitute a shareholding of not less than 25% plus one share in the corporate or legal entity; and (3) the Nominator is a **Regulated Firm with permission to carry out a regulated activity pursuant to Part 7 of the Financial Services Act 2019**.

E5.1 Nominee details

Name	

E5.2 Nominator: name

Name of Listed Entity *	
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E5.3 Nominator: further details

Legal form (i.e. company) *	
Registered Office Address *	
Permitted Businesses pursuant to Part 7 of the Financial Services Act 2019 *	
Permission number *	

E5.4 Regulated firm's registration as a Nominator

Date that this regulated firm became registrable

d	d	m	m	y	y	y	y
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E5.5 Date that the regulated firm ceased being a Nominator

Date (if applicable) that this regulated firm ceased to be registrable

d	d	m	m	y	y	y	y
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PART F - DETAILS OF THE APPOINTOR(S)

Section F1 is to be completed where a director of a corporate or legal entity has been appointed or re-appointed on or after the 9 February 2021

F1.1 Director's details

Director's Name *									
Date of Appointment as director (this cannot be before 9 February 2021)	<table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		
Date of Resignation of director (this cannot be before 9 February 2021)	<table border="1"> <tr> <td>d</td><td>d</td> <td>m</td><td>m</td> <td>y</td><td>y</td><td>y</td><td>y</td> </tr> </table>	d	d	m	m	y	y	y	y
d	d	m	m	y	y	y	y		

F1.2 Basis of Appointment (please tick one of the following)

A	<input type="checkbox"/>	Appointed by the subscribers	No further information required under Part F
B	<input type="checkbox"/>	Appointed by the board of directors	No further information required under Part F
C	<input type="checkbox"/>	Appointed by the shareholders or members	No further information required under Part F
D	<input type="checkbox"/>	Appointed by the holder or holders of a particular type of share	No further information required under Part F
E	<input type="checkbox"/>	Appointed by persons exercising rights under the Articles of Association (unless one of A-D already applies)	No further information required under Part F
F	<input type="checkbox"/>	Appointed by persons exercising contractual rights under an agreement (unless one of B-E already applies):	Go to the following section: F2 - where the Appointor (or one of them) is an Individual; F3 - where the Appointor (or one of them) is a Company or Legal Entity (other than a Listed Entity or a Regulated Firm);

			<p>F4 - where the Appointor (or one of them) is a Legal Arrangement;</p> <p>F5 - where the Appointor (or one of them) is a Listed Entity;</p> <p>F6 - where the Appointor (or one of them) is a Regulated Firm.</p>
G	<input type="checkbox"/>	<p>Appointed by a person to represent that person's interests on the board (unless one of A-F already applies to that Appointor):</p> <p>NB: Where G applies, it is likely that there will be other Appointors in respect of that same director, in which case please tick all that apply.</p>	<p>Go to the following section:</p> <p>F2 - where the Appointor (or one of them) is an Individual;</p> <p>F3 - where the Appointor (or one of them) is a Company or Legal Entity other than a Listed Entity or a Regulated Firm;</p> <p>F4 - where the Appointor (or one of them) is a Legal Arrangement;</p> <p>F5 - where the Appointor (or one of them) is a Listed Entity;</p> <p>F6 - where the Appointor (or one of them) is a Regulated Firm.</p>

Section F2 is to be completed where (1) the Appointor is either a person exercising contractual rights under an agreement or a person who has appointed the director to represent his or her interests on the board and (2) that Appointor is an **Individual**

F2.1 Appointor details

Title	
Full forename(s) *	
Surname *	
Nationality *	
Date of birth * (dd/mm/yyyy)	
Previous name(s) / alias	
Gender	
Place of birth *	
Occupation	
Usual residential address	
Address: Building name/number	
Street	
City/town	

County/Region	
Postcode	
Country/State *	

F2.2 Appointor's service address (if different to the details provided in F2.1)

Building name/number	
Street	
City/town	
County/Region	
Postcode	
Country/State	

F2.3 Individual's registration as an Appointor *

d	d	m	m	y	y	y	y
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F2.4 Date that the Individual ceased being an Appointor (if applicable)

d	d	m	m	y	y	y	y
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Section F3 is to be completed where (1) the Appointor is either a person exercising contractual rights under an agreement or a person who has appointed the director to represent his or her interests on the board and (2) that Appointor is a **Company or Legal Entity** (other than a Listed Entity or a Regulated Firm)

F3.1 Appointor: name

Name of Company *	
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F3.2 Appointor: principal office address

Building name/number	
Street	
City/town	
County/region	

Postcode	
Country/State *	

F3.3 Appointor: incorporation details

Country of incorporation *	
Incorporation number *	

F3.4 Company's registration as an Appointor *

d	d	m	m	y	y	y	y
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F3.5 Date that the Company ceased being an Appointor (if applicable)

d	d	m	m	y	y	y	y
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Section F4 is to be completed where (1) the Appointor is either a person exercising contractual rights under an agreement or a person who has appointed the director to represent his or her interests on the board and (2) that Appointor is a **Legal Arrangement**

F4.1 Appointor: name

Name of legal arrangement *	
Full name of the Trustee(s) *	

F4.2 Appointor: principal office address

Building name/number	
Street	
City/town	
County/region	
Postcode	
Country/State *	

F4.3 Appointor: further details

Legal form *	
Governing law *	

Incorporation or registration number, if applicable	
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F4.4 Legal arrangement's registration as an Appointor *

d	d	m	m	y	y	y	y
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F4.5 Date that the Legal arrangement ceased being an Appointor (if applicable)

d	d	m	m	y	y	y	y
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Section F5 is to be completed where (1) the Appointor is either a person exercising contractual rights under an agreement or a person who has appointed the director to represent his or her interests on the board and (2) that Appointor is a **Listed Entity**.

F5.1 Appointor: name

Name of Listed Entity *	
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F5.2 Appointor: further details

Legal form (i.e. company)	
Registered Office Address *	
Name of market where shares admitted to trading *	
Country / State *	
Registration number	

F5.3 Listed Entity's registration as an Appointor *

d	d	m	m	y	y	y	y
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F5.4 Date that the Listed Entity ceased being an Appointor (if applicable)

d	d	m	m	y	y	y	y
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Section F6 is to be completed where (1) the Appointor is either a person exercising contractual rights under an agreement or a person who has appointed the director to represent his or her interests on the board and (2) that Appointor is a **Regulated Firm with permission to carry out a regulated activity pursuant to Part 7 of the Financial Services Act 2019**.

F6.1 Appointor: name

Name of regulated firm *	
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F6.2 Appointor: further details

Legal form (i.e. company)	
Registered Office Address	
Permitted Businesses pursuant to Part 7 of the Financial Services Act 2019 *	
Permission number *	

F6.3 Regulated firm's registration as an Appointor *

d	d	m	m	y	y	y	y
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F6.4 Date that the Regulated Firm ceased being an Appointor (if applicable)

d	d	m	m	y	y	y	y
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PART G - SIGNATURE, DECLARATION & PRESENTER DETAILS

G.1 Signature and declaration

I, the presenter, am signing this form on behalf of the corporate or legal entity named in Part A and declare that the particulars submitted are correct to the best of my information knowledge and belief.

Signature:
Date:

G.2 Presenter Information

Name of presenter *	
Company Name (where applicable)	
Address	
Telephone *	
e-mail *	

* An electronic receipt will be sent to this e-mail address